Steps towards safely managed sanitation webinar series: Guided clinics for practical progress

Webinar #1. Strengthening sanitation governance, policies and regulations

Tuesday 25 February 2025

9.00-10.00 AM CET 16.00 – 17.00 PM CET







Housekeeping



Please turn off your microphones (except for the final discussion/Q&A).



In case of **technical problems**, feel free to **ask for assistance in the chat**.



Please **use the chat** for questions and comments – which will be address at the end of the webinar, or later by email.



Webinar will be recorded recording and slides will be shared with attendees.



Please keep your questions relevant to the topic of the webinar.

> You can switch to the French interpretation channel by clicking the interpretation icon at the bottom of your Zoom window and selecting "French."

Background

Kate Medlicott

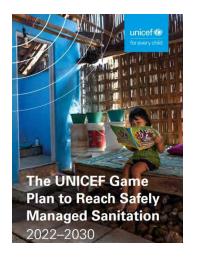
Sanitation team lead World Health Organization

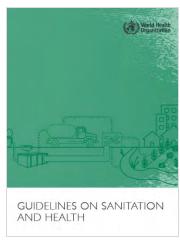
Arnold Cole

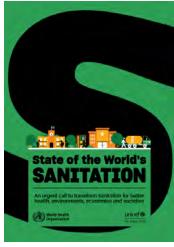
Water and Sanitation Specialist UNICEF

A common framework for implementation

- Only 5 years before reaching the end of SDG period
- SDG 6 GAF and UN System-wide strategy on water and sanitation as a basis - sector alignment and coordination needed
- Major gaps in understanding what SMS and climate resilient sanitation (CRS) means
- WHO/UNICEF aligned in the sanitation approaches via the Game plan and the Guidelines
- Highly motivated to align further and work jointly with other implementing partners and their respective strategies







8 practical steps

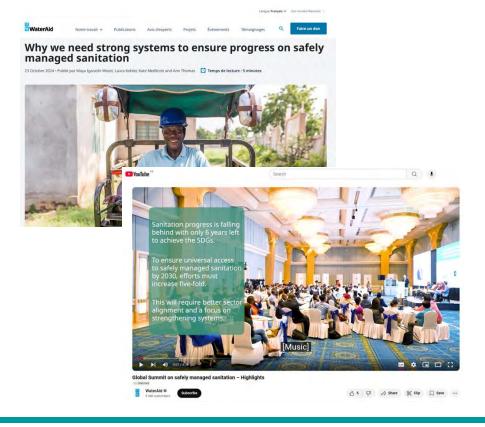
- Builds on SMS workshop experience request to simplify implementation
- Checklist to demystify and help navigate though the SMS and CRS (using UNICEF Game Plan, WHO Guidelines, CRS guide)
- Assist country teams to work with national and sub-national levels counterparts to implement the Game Plan and the Guidelines on Sanitation and Health
- Ensure activities are complementary and aligned between the two organizations and with other sector stakeholders



Webinar series and related resources

Sanitation Summit, Nepal, June 2024

Watch **Summary** and **Thematic videos**



Webinar series: 6 thematic areas

Webinar #1	Strengthening governance, policies and regulations	25 February
Webinar #2	Financing safely managed sanitation	25 March
Webinar #3	Strengthening data systems for decision making	15 April
Webinar #4	Scaling up service delivery in rural settings	13 May (date tbc)
Webinar #5	Scaling up service delivery in urban settings	27 May (date tbc)
Webinar #6	Human resource capacity for safely managed sanitation	17 June (date tbc)

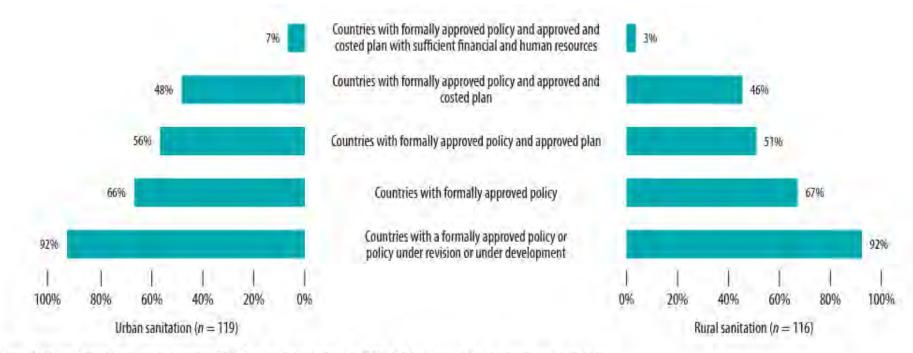


Register here:



Sanitation Systems form the Foundation

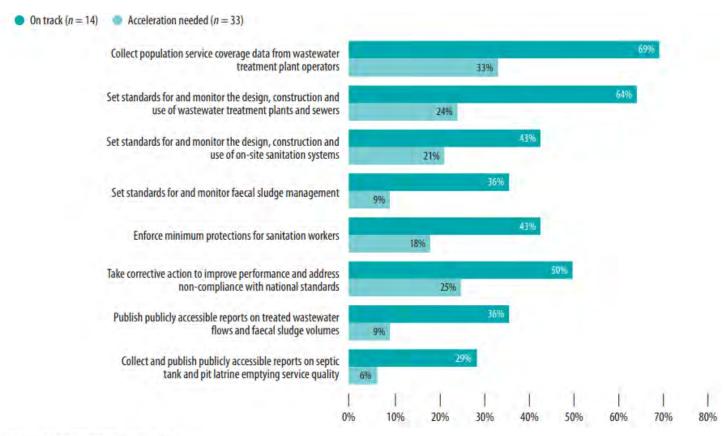
Two-thirds of countries have policies, but few countries have costed plans with sufficient financial and human resources to implement them.



Note: "Sufficient financial and human resources" is defined as having more than 75% of what is needed to implement sanitation plans. Source: GLAAS 2021/2022 country survey.

Why sanitation governance matters

Countries that are "On track" to achieving their national targets on sanitation are more likely to have regulatory authorities that perform key functions for urban and rural sanitation



Source: GLAAS 2021/2022 country survey.

Infrastructure alone is not enough: leadership, institutional frameworks and coordination, accountability mechanisms are required

National government functions

Policy, coordination, planning, monitoring, finance, legislation, regulation, standards and guidelines, capacity building and technical assistance

Local government functions

Urban and land use planning, housing and land tenure, support for local services, local by-laws and enforcement, health promotion and monitoring

Customer services

Toilet construction, hardware supplies, sewer connections, sludge and container removal, public toilets

Public services

Faecal sludge treatment, operation of sewerage, wastewater treatment, and drainage

Infrastructure

Construction of sewerage, wastewater and faecal sludge treatment plants, drainage, water supply and informal housing upgrades

Quick poll

What do you see as the biggest barriers to improving sanitation policies and regulations in your country?

Strengthening sanitation policies

Reflections on ASPG implementation

Comfort Kanshio

Policy officer

African Ministers' Council on Water (AMCOW)

Experiences from Zimbabwe

Muchanyara Jarawaza

WASH specialist

UNICEF Zimbabwe

AFRICAN SANITATON POLICY GUIDELINES (ASPGS) UPTAKE ACROSS COUNTRIES, STATUS OF IMPLEMENTATION, FACTORS FOR SUCCESSFUL ADOPTION AND CHALLENGES

WHO & UNICEF WEBINAR SERIES

Comfort Kanshio
Policy Officer, Water Sanitation and Hygiene
AMCOW







BACKGROUND

 The African Sanitation Policy Guidelines (ASPG) were developed and launched in 2021 by AMCOW and partners to assist African countries in formulating effective sanitation policies.

Repository for Member States request for policy reform assistance.

<u>ASPG REQUESTS TOOL (amcowonline.org)</u>

Member States - Highlevel political commiment - create the enabling environment - commit incash and/or in kind resources - mobilise sector stakeholders leveraging functional national working groups **AMCOW Secretariat** - Member States Development and mobilisation **Inplementing partners** - coordination of partners - Situation assessment of and stakeholders the policy environment - Support broader - technical and financial assessment of policy policy reform support environment - facilitate day to day policy monitor and track progress reform process - facilitate knowledge - provide capacity exchange development

STATUS OF POLICY IMPLEMENTATION

Sanitation governance document revised/developed

Kenya, Zimbabwe, Ethiopia, Niger, Rwanda, Sierra Leone, Mozambique, Senegal

Policy process ongoing

Cameroon, Nigeria, Burkina Faso, Ghana, Malawi, Guinea Bissau, Uganda, Eritrea

Countries awaiting support

DRC, Liberia,
Tanzania, South
Sudan, Botswana,
Senegal,
Mozambique

SUCCESSES & CHALLENGES

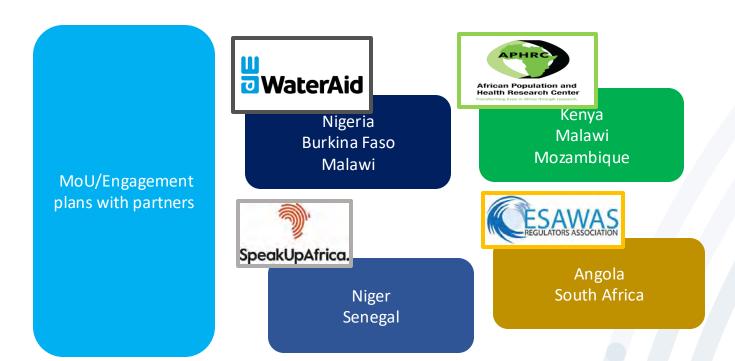
Success factors

- Political interest and commitment
- Technical and financial support
- Development partners interest
- Multisectoral and interministerial national coordination platform
- Increased awareness on sanitation policy

Challenges hindering progress

- Lack of political interest
- Civil unrest and political instability
- Financial, technical and capacity gap
- Government priority
- Overlapping mandate
- Zero or limited partner interest
- Development partners interest
- Lack of strong multisectoral and interministerial national coordination platforms
- Lengthy policy processes absence of comprehensive stakeholder engagement

PARTNERSHIPS FOR ASPG IN ACTION





THANK YOU



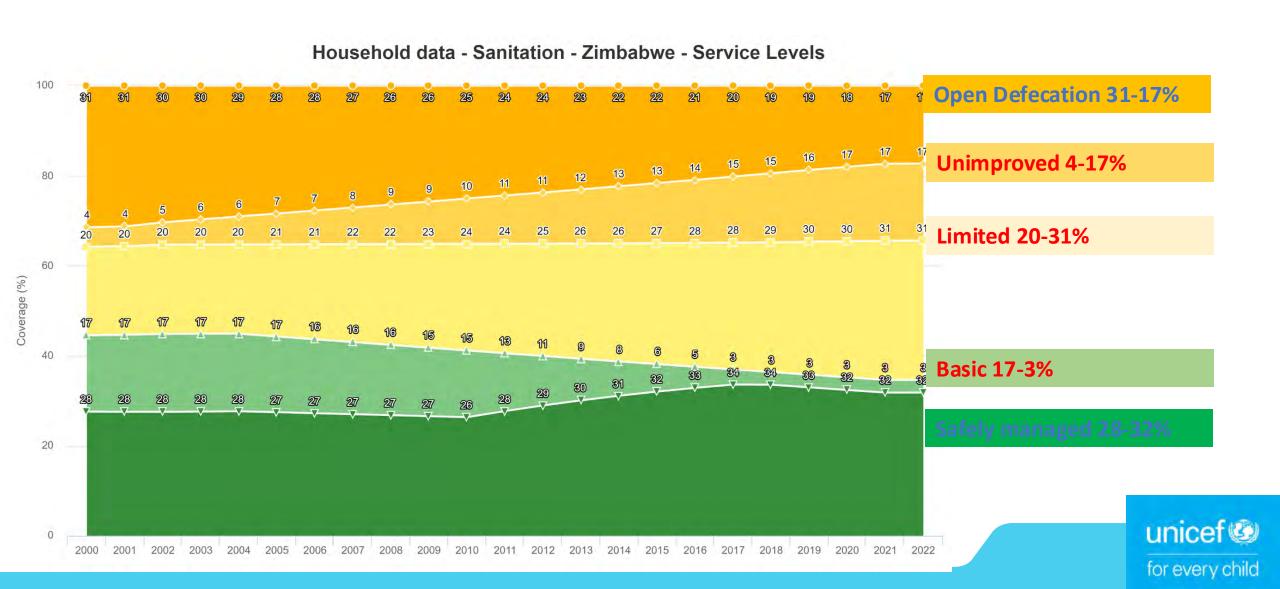






COUNTRY CONTEXT.....

PRACTICE OF OPEN DEFECATION IS DECLINING. HOWEVER, SO IS BASIC SANITATION SERVICES. INCREASED LIMITED SERVICE (JMP, 2023) & RECURRING CHOLERA & TYPHOID



SYSTEM

BOTTELENECKS:

WASHBAT (2019) JSR (2019 to date) GLAAS 2022 The ASPAT (2023)





Institutional overlapping mandates among different MDAs



Fragmented regulatory arrangement weak enforcement



Inequity deepening



Recognition to mitigate and adapt to climate change

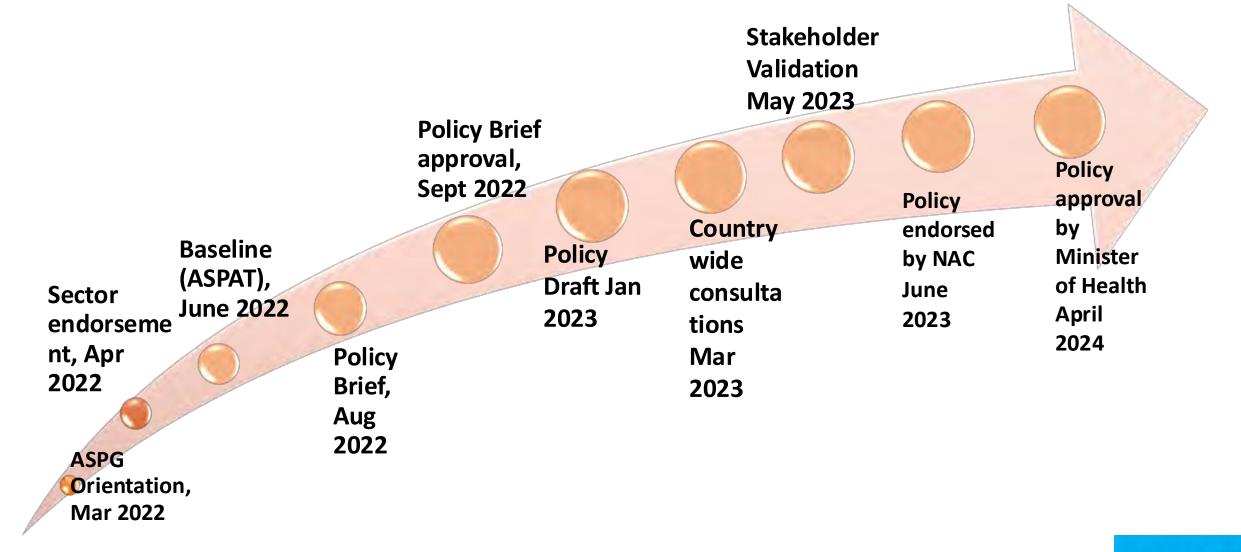
Align to the emerging environment i.e. NDS; data update; SDG6+5; S. Game Plan



Contd pitching of elimination of OD practices not SMS



ASPG ADOPTION ...HOW & WHO?





CHALLENGES & OPPORTUNITIES

CHALLENGE

No systematic guidance on sanitation policy	ASPG provided a comprehensive structured approach to sanitation policy development	
Lack of Government leadership and	Driven by political leadership – AMCOW influence, support at high-level/policy makers (NAC & MOHCC), govt –led	
ownership	Evidence based and inclusive – Consensus drawn based on sector wide analysis and consultations	
	Nationally owned - Ensured inclusive broad engagement (Govt, CSOs, Media, Pvt, Donors, Academia, Communities)	
Inadequate expertise to drive the policy process	Policy Unit expertise within MoHCC and DWASHC led the process; capacity tr support from AMCOW,UNICEF ESAR and HQ, & S-S peer learning	
Continued changes in MoH Leadership	Continued engagement of new leadership giving updates and secure their commitment towards ODF-SMS policy devmt	

MITIGATION MEASURE

Key lessons learnt







Success lies in securing buy-in and leadership by senior policy makers

ASPAT and ASPG provides a good framework for 'systematic thinking'

Striking a balance
between country
policy development
protocol and ASPG
crucial

ON PLAN FOR 2025 MOVING AHEAD



2024 Policy Advancement

Development of Strategy & Performance Monitoring f/work Policy advocacy (uptake)



Costed Roadmap

Elimination pathways from OD to SMS & hygiene services in Zimbabwe

Resource mobilisation for roadmap implementation



Strengthen coordination & leadership

Supporting leadership in coordinating, planning & reporting Annual Joint reviews







Thank You



Strengthening sanitation regulations

Roadmap for advancing sanitation regulation

Sam Drabble

Head of Evaluation, Research and Learning Water & Sanitation for the Urban Poor

Strengthening accountability mechanisms in Senegal

Omar Sène

Directeur General

Direction de l'assainissement, Ministère de l'Hydraulique et de l'Assainissement

The role of regional associations in strengthening regulations

Elisabeth Nahimana

Research and knowledge management specialist

Eastern and Southern Africa Water and Sanitation Regulators Association (ESAWAS)

Zambia's journey to strengthening sanitation regulations

Chola Mbilima

Senior Inspector

National Water Supply and Sanitation Council (NWASCO), Zambia

Extending sanitation regulations to small towns and rural areas in Peru

Juan Luis Denegri Martinelli

Coordinador de Cooperación Técnica Internacional

National Superintendence of Sanitation Services (SUNASS), Peru







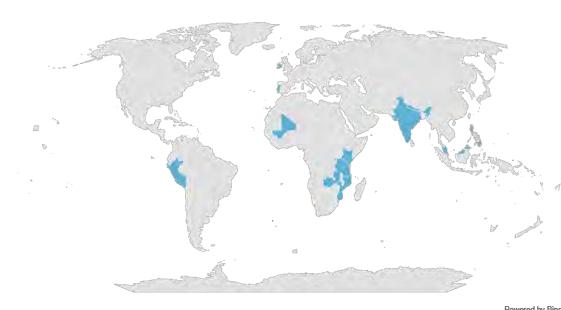


Roadmap for advancing sanitation regulation

Safely managed sanitation webinar series: guided clinics for practical progress

Webinar 1: Governance, policy and regulations 25th February 2025

Roadmap for advancing sanitation regulation: approach and methods



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Chile; India; Ireland; Jordan; Kenya; Malaysia; Mali; Mozambique; Nepal; occupied Palestinian territories; Peru; Philippines; Portugal; Senegal; Serbia; Tanzania; Zambia

- Roadmap aims to support countries to advance from little or no sanitation regulation, to functional regulatory systems
- Draws upon experiences of countries in Africa, Asia, Europe and Latin America
- Mixed methods approach, using key informant interviews with regulators and literature review
- Sets out **principles and key milestones** towards high-performing sanitation regulation
- Milestones annotated with short case studies showcasing inspiring regulatory initiatives from across income categories and regions
- Two examples of whole-roadmap implementation early stage (Tanzania) and mature stage (Portugal)
- Addresses prior enablers for successful implementation of the roadmap
- Published by WHO, ESAWAS, UNICEF, WSUP
- Peer review process now complete and final edits ongoing

Roadmap: Structure and key milestones

A1: Establish the current legal basis for service regulation

A2: Review and strengthen the institutional and regulatory framework

A3: Develop effective (funded) accountability mechanisms, including for on-site sanitation

A4: Establish and fund robust public data management systems to inform regulation and service improvements

A5: Strengthen regulator and service provider capacity and incentives

A6: Review, iterate and adapt to emerging challenges



1.1: Legal review

1.2: Contextual analysis 3.1: Ensure clarity of regulatory responsibilities (economic, environmental, public health)

3.2: Ensure clarity of service provision mandates

3.3: Ensure clarity of ministry roles



3.1: Develop regulations, guidelines, standards and codes of practice

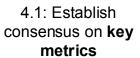
3.2: Establish process and methodology to set tariffs

3.3: Establish a **licensing** regime

3.4: Ensure effective compliance monitoring and enforcement

3.5: Raise customer awareness

3.6: Facilitate performance benchmarking against KPIs



4.2: Conduct sanitation service baseline and monitor service coverage

4.3: Develop local-level and centralised data management systems

4.4: Establish measures to promote data quality and compliance



5.1: Grow regulator human resource capacity including diverse technical specialisms

5.2: Train mandated service providers, including in data management

5.3: Support dedicated new revenue streams to drive service extension



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A first step for countries looking to strengthen sanitation regulation is to conduct a detailed legal review. The purpose of the review is to clarify what agencies are responsible for which aspects of sanitation services, including policy formulation, regulation and service provision; and to reveal any gaps or overlaps in mandates. The review can be accompanied by a contextual analysis of the country's sanitation sector, to inform potential revisions to the institutional and regulatory framework.

A2: Review and strengthen the institutional and regulatory framework

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A2 - Chapter in Brief:

Following from the legal review, revisions to the overarching institutional framework may be required, including the design of a regulatory model and potential changes to the regulator's mission and mandate. The regulatory function must be viewed within the context of the overall institutional framework for sanitation and the interconnections between ministries, regulators and service providers. Such a review may also lead to changes to the definition of Ministry roles; and / or changes in the framework for sanitation service provision.

A2: Review and strengthen the institutional and regulatory framework

Guiding Principles:

- Clarify responsibilities for economic, service quality, environmental and public health regulation across the sanitation service chain
- In economic regulation, the creation of autonomous regulatory entities should be considered, with technical, managerial and financial independence from the responsible line ministry
- Irrespective of the regulatory model, policy should provide the regulatory office or entity with decision-making autonomy and insulation from political interference
- Policy and service provider mandates should promote equity by including informal settlements
- Regulatory and service provision mandates should align and integrate water, sewered and onsite sanitation
- Reporting to a single ministry can assist the role of the regulator
- Government must understand and plan for the long-term nature of sector reform processes

Illustrative Case Studies:



Mali - Development of new sanitation policy and redefinition of institutional roles



Nepal - Phased reforms involving the creation of a new Ministry-level regulatory and monitoring unit



<u>Peru</u>: Expansion of regulator scope to include small towns and rural areas

A2 wider discussion points:

- Spheres of regulation and key functions to be executed
- Defining autonomy
- Mitigating risk in institutional reform
- Addressing sanitation with other basic services

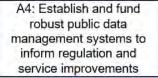
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A3: Develop effective (funded) accountability mechanisms, including for onsite sanitation

A1: Establish the current legal basis for service regulation A2: Review and strengthen the institutional and regulatory framework



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5.3: Support dedicated new revenue streams to drive service extension A3 - Chapter in Brief:

Regulatory authorities need politically and financially viable tools to hold service providers accountable for delivering service mandates. This Chapter sets out the key tools that regulators should draw upon to fulfil their function. These include the development of regulations, guidelines, standards and codes of practice; tariff adjustments and wider economic regulation; licensing and performance contracts; compliance monitoring and enforcement; and public performance benchmarking. Key principles and country-level case studies are provided to inform the adoption of these tools.

A4: Establish and fund robust public data management systems to inform regulation and service improvements

A1: Establish the current legal basis for service regulation

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A4 - Chapter in Brief:

Regulators, service providers, and citizens need data-backed information to plan, perform, and improve services. This Chapter sets out the steps involved, and the role of the regulator, in developing robust public data management systems. Key principles are outlined and supported by examples of regulators adopting information-based strategies.

A5: Strengthen regulator and service authority capacity and incentives

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A5 - Chapter in Brief:

As trusted technical and social actors, regulators have a leadership role to play in sector coordination and driving processes of sector reform. This Chapter explores the regulator's role in building capacity — internally within the regulator, and of service authorities — and in addressing sector constraints. As countries advance implementation of the Roadmap and build a base level of regulatory capacity, regulators will increasingly be positioned to engage with the challenges of scaling services, including financing, and to propose innovative solutions.

A6: Review, iterate and adapt to emerging challenges

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A6 - Chapter in Brief:

Regulators are consistently faced with new challenges. Any Roadmap for regulating sanitation has no fixed end point, only continual adaptation and iteration of the regulatory approach. This Chapter explores how established regulators, operating in mature sectors with high levels of service coverage, are evolving their approach and expanding their scope in the context of new threats such as climate change.

A6: Review, iterate and adapt to emerging challenges

Guiding Principles:

- 1. Climate resilience should be mainstreamed into guidelines, standards and codes of practice at every step of the sanitation service chain, for sewered and onsite sanitation.
- Tariff models should be adapted to support the costs of climateresilient sanitation while balancing financial viability and affordability.
- Regulators should support service providers in adopting climateresilient approaches and adapting to droughts and extreme weather events.

Illustrative Case Studies:



Malaysia – continued evolution of the nization institutional and regulatory model in the context of near-universal sanitation coverage



<u>Chile</u> – Regulator-led development of Strategic Agenda 2030 to address challenges posed by climate change

A6 wider discussion points:
- Regulating in crises

Prior enablers for implementing the Roadmap

- No country will be addressing sanitation regulation in isolation from wider political, economic and social factors. Two factors were emphasized by informants as particularly central to enabling progress:
 - ➤ **High-level political commitment** to improving sanitation access;
 - Targeted financial resource allocation to sanitation (in low-income countries, this is likely to involve government allocations, private investment and ongoing sources of concessional finance)

Example enablers outlined by informants:

- > Leveraging national-level campaigns as powerful drivers of sanitation improvements
- ➤ Enshrining the Human Right to Water and Sanitation in the Constitution, as a first step towards increasing commitment to sanitation at all levels
- > Assessment and acknowledgement of the financing gap for sanitation, providing a platform to leverage diverse forms of investment

03/03/2025 | Title of the presentation 37

Example of whole roadmap implementation – early stage: Tanzania

A2: Review and strengthen the institutional and regulatory framework



A review of the National Water and Sanitation Policy in progress

A review of the Water
Supply and
Sanitation Act of
2019 has been
proposed

A3: Develop effective (funded) accountability mechanisms, including for on-site sanitation



Recent regulatory tools approved by the EWURA Board:

- 2020: Guidelines for OSS & FSM for Water and Sanitation Authorities
- 2020: Water and Wastewater Quality Monitoring Guidelines
- 2021: Guidelines for Development of NRW Reduction Strategy
 2022: Guidelines for Preparing a Business Plan for Regulated Water Utilities

Licensing:

Licences for WSSAs amended to include a condition to acquire land for FS / WW Treatment
 WSSAs without FS / WW Treatment to build such infrastructure within ten years of licence tenure

A4: Establish and fund robust public data management systems to inform regulation and service improvements



Collection and publication of some key sanitation data started and initially published in the Water Utilities Report for 2020/21

Upgrade ongoing of the Water Utilities
Information System to include more data on sanitation

Development of the Unified Water Information System ongoing, led by the Ministry of Water A5: Strengthen regulator, service authority, and service provider capacity and incentives



Initial training of EWURA staff delivered in July 2023

Training of WSSAs staff in the OSS and FSM guidelines and other tools conducted in July 2023

Training of EWURA staff ongoing via peer exchange

Example of whole roadmap implementation – mature stage: Portugal

Prior enablers: broad stability of public policy since the 1990s; strategic planning

A2: Review and strengthen the institutional and regulatory framework



ERSAR created as regulatory entity in 1997

Cross-sectoral reforms to institutional and regulatory frameworks **2014**

A3: Develop effective (funded) accountability mechanisms, including for on-site sanitation



Advisory tariff proposals

Arbitration of **customer complaints**

'Sunshine
Regulation' - traffic
light grading of utility
performance against
16 KPIs; prizes and
awards for high
performers

A4: Establish and fund robust public data management systems to inform regulation and service improvements



Consolidated data platform for operators ('one stop shop')

OSS recently integrated into data management and benchmarking system

Selection matrix to guide internal and external **audit** of operator data

Information published in several formats

A5: Strengthen regulator, service authority, and service provider capacity and incentives



Training sessions to utilities on service quality, data management, all accessible on YouTube channel

'Excellence sessions' to promote P2P learning on best practice

LIS Water and other stakeholders provide wider training support A6: Review, iterate and adapt to emerging challenges





ERSAR now on the fourth iteration of the regulatory model.

Focus has shifted over time, incrementally expanding regulatory remit from water supply to include OSS and now to integrate stormwater management and drainage

Growing focus on regulating the circular economy and responding to water scarcity

Thank you!



Strengthening sanitation regulations



Strengthening sanitation policies and regulations in Senegal

Omar Sène

Directeur General

Direction de l'assainissement, Ministère de l'Hydraulique et de l'Assainissement





Strengthening sanitation regulation – ESAWAS experience

Elisabeth Nahimana ESAWAS Regulators Association

ESAWAS Regulators Association



Network of WSS Regulators created in 2009 to enhance effective regulation of WSS. It is governed by a constitution and legally registered in Zambia. Currently 14 members



Capacity building and information sharing To enhance the capacity of members in WSS regulation
Regional regulatory cooperation Identification & adoption of good practices for effective WSS regulation

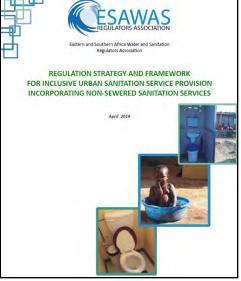
Strengthening regulation

- Identification of regulatory gaps
- Development of regulatory frameworks & tools for adoption and adaptation to country context
- Facilitation of peer learning, knowledge exchange, dissemination of good practices
- Advocacy for strengthened enabling environment for regulation



Inclusive sanitation regulation framework

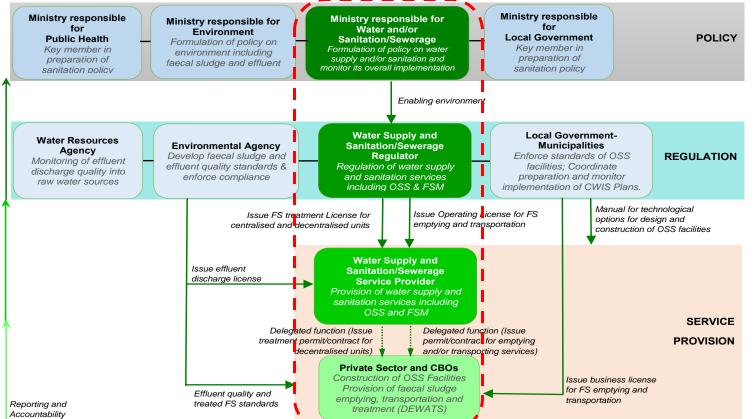




Strategic objectives

- Recommend regulatory framework, legal & institutional arrangements and service provision models.
- Propose internal regulatory set up with focus on inclusive urban sanitation
- Outline the licensing framework and service provision responsibilities

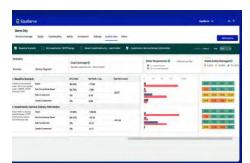
- Guidelines for Sanitation Services Tariff Setting
- Guidelines for Inclusive
 Sanitation Service Provision
- Guidelines for Citywide Inclusive Sanitation Planning







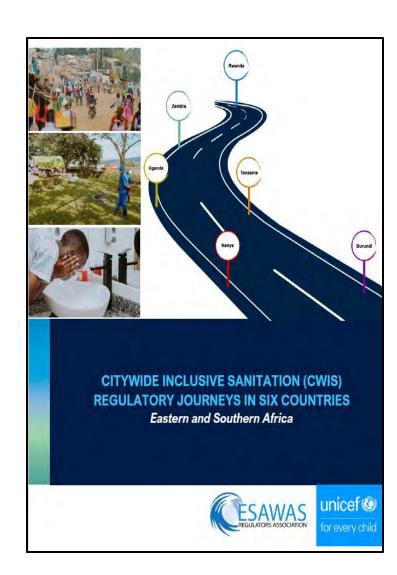
Equiserve tool



Framework implementation



- ✓ Review of policy, legal and institutional framework to integrate sanitation/onsite sanitation
- ✓ National roadmaps for the implementation of inclusive sanitation
- ✓ Development of country regulations/guidelines for FSM
- ✓ Capacity strengthening peer learning, mentorship program eg Burundi Zambia
- ✓ Data systems strengthening incorporating sanitation
 - Sanitation data collection template
 - GIS mapping of sanitation infrastructure eg Burundi, Mozambique, Rwanda, etc
 - Deployment of Sanitracker in Kenya (5 utilities)
 - Establishment/Upgrade of Regulatory Information Systems eg Kenya, Tanzania,
 Zambia, Uganda, Mozambique
- ✓ Documentation of 6 regulatory journeys to disseminate good practices, actionable insights and lessons learnt to catalyse further improvements in CWIS
- **✓** Rural Sanitation
 - Development of regulatory framework to guide countries in developing appropriate regulation for rural WSS
 - Learning from CWIS to improve sanitation services in rural settings





Thank you



For more information

www.esawas.org



Strengthening Sanitation Governance, Policy and Regulation

The Role of Sanitation Policies and Regulations in Driving Progress in Sanitation Service Delivery

Chola Mbilima
Senior Inspector
25th February 2025

Reforms to enhance Service Delivery - Where it all starts

- Policy
- Legal Framework
- Institutional arrangement
- Accountability

 M

 Governance

Regulation

- Who is Regulating
- Regulatory approaches
- Tools for Regulation

- Who is providing the service (public, Private)
- Do they have the Capacity
- Status of Service Provision

NWASCO's CWIS REGULATORY JOUNEY

Policy and Legal Framework

Institutional and Regulatory Framework

Accountability Mechanism

Policy- National Water Policy of 2010 **Legal Framework-** Water Supply and Sanitation Act No. 28 of 1997, Environmental Management Act No 12 of 20211, Water Resources Management Act No 21 of 2011, Urban and Regional Planning Act, Public Health Act,

- Policy- Ministry of water Development and Sanitation, Ministry of Local Government and Rural Development, Ministry of Environment,
- Regulators- NWASCO, ZEMA, WARMA and Local Authorities
- Approach Multifaceted, Collaborative, delegated approach to regulation
- Context and Structure- Framework for Urban OSS Regulation and Service Provision
- Service Providers Commercial Utilities Private Operators, CBOs (VTO, Pit Emptiers)
- Licensing
- Permitting considerations
- Standard Operating procedures and Service levels

Performance Monitoring and Reporting

- Data- agreed definitions of KPIs, GIS mapping of facilities
- Data systems- Modification of NIS to incorporate OSS and FSM
- Reporting -Chapter in Sector report on OSS and FSM

Strengthening Regulation and Service Provider Capacity

- Capacity and Institutional Assessment of CUs for OSS and Rural service deliver
- Generic structures for OSS/FSM and RWSS
- Development of training module on CWIS
- Training of CU staff and pit emptiers
- Recruitment, Training and Exposure visits for regulator staff responsible for OSS

Incentives

Sanitation surcharge

Conclusion



An Enabling Environment is Key to Driving Progress in Sanitation Service Delivery



Strengthening sanitation governance, policies and regulations.

Juan Luis Denegri LL.M. M.Sc.

Coordinador de Cooperación Técnica Internacional



PROBLEMA PÚBLICO: ASIMETRÍA DE INFORMACIÓN



responsable o

derrochador?



Existe una necesidad de Información relevante para toma de decisiones

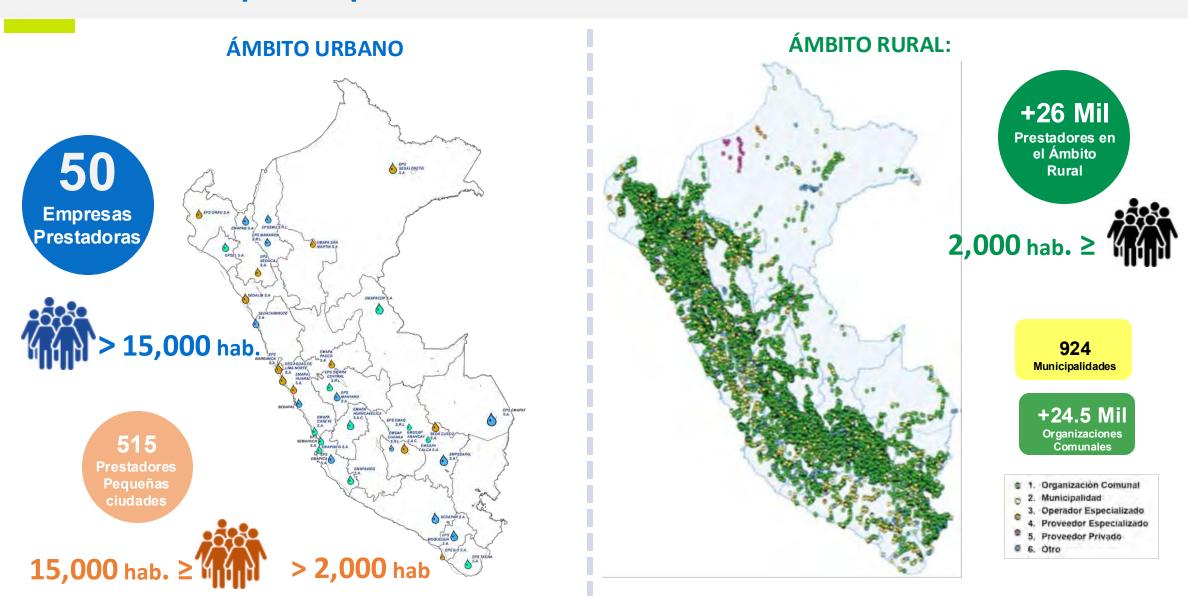
Existen datos que se encuentran sin uso o dispersos

SOLUCIÓN

Implementación de tecnologías que generen información

La falta de información genera poca confiabilidad de la prestación de los servicios de saneamiento

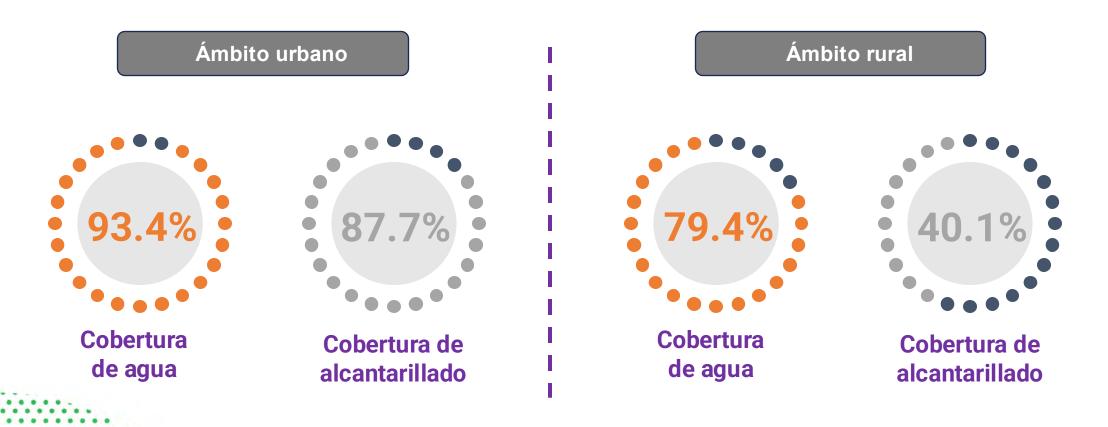
CONTEXTO: Empresas prestadoras de servicios de saneamiento





Indicadores generales del sector

En el Perú al año 2023 se tiene una población total de **33,685,925** personas, de las cuales el **90.7**% tienen acceso a agua potable (**30.5** millones de personas). Datos ENAPRES



Información obtenida de la Encuesta Nacional de Programas Presupuestales – ENAPRES: https://www.gob.pe/institucion/inei/campa%C3%B1as/8603-encuesta-nacional-de-programas-presupuestales-enapres

Intervención de la SUNASS en el ámbito rural





2016

Decreto Legislativo N°
1280, Decreto Legislativo
que aprueba la Ley del
Servicio Universal de Agua
Potable y Saneamiento



DS 019-2017- VIVIENDA

Reglamento del Decreto Legislativo N°1280

2017

Se inician primeras

acciones de monitoreo al

ámbito rural y

coordinación con ATM

4 talleres piloto de benchmarking de OC



2018

Se realizó 436 monitoreos en el ámbito rural a nivel nacional Se implementó
1) Sistema web de
monitoreo rural
2) Sistema Web ATM

25 talleres de benchmarking de OC

RCD: 015-2020-CD-SUNASS Reglamento de Calidad de las Organizaciones Comunales

RCD: 023-2020-CD-SUNASS Reglamento de Fiscalización de las Organizaciones Comunales

2019



Se realizó 1264 monitoreos en el ámbito rural a nivel nacional 2020

Se realizó 724 monitoreos en el ámbito rural y 25 talleres de Benchmarking

Inicio de la fiscalización







Metodología de cálculo del valor de la Cuota Familiar





PASOS A SEGUIR PARA CALCULAR LA

> CUOTA **FAMILIAR**





1. Plan Operativo Anual

Operación

Reposición de

equipos

Mantenimiento

Administración

2. Presupuesto Anual

las fórmulas de

cálculo de la cuota

3. Aplicación de

familiar

Costos de operación

Costos de mantenimiento

Costos de administración

Costos de reposición

Reserva Anual: 10%

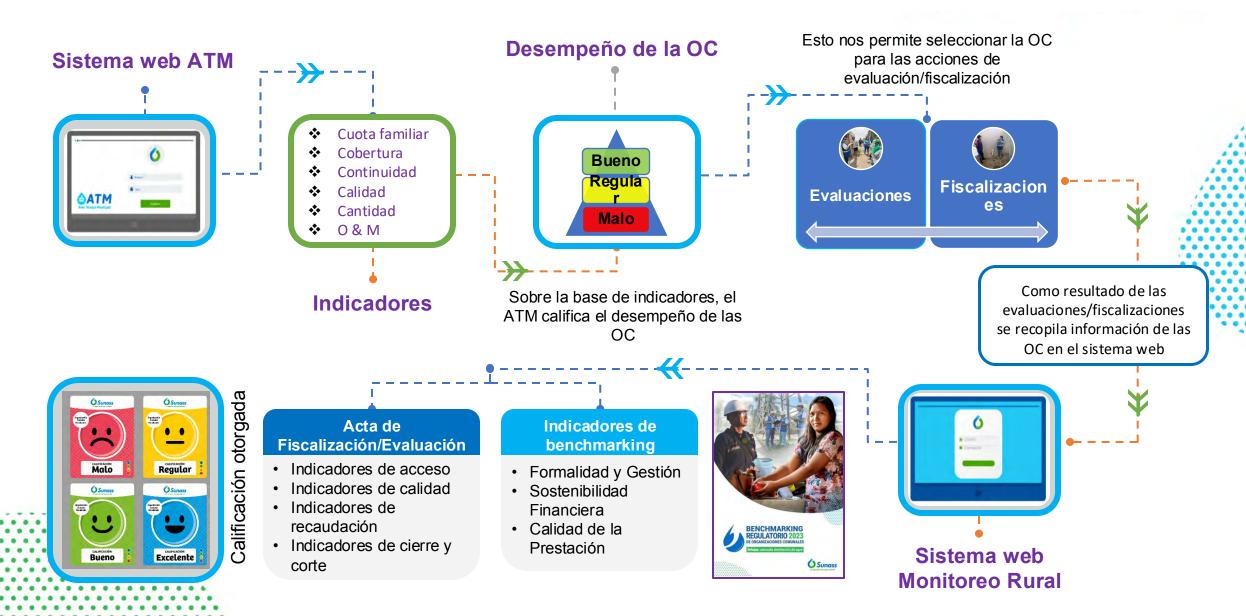
a. Sin micromedición

b. Con cuotas diferenciadas

c. Con Micromedición

Fiscalización de la SUNASS en el ámbito rural





SISTEMAS IMPLEMENTADOS

Actualmente se cuenta con 2 modelos de sistemas implementados:

MONITOREO DE CLORO RESIDUAL – "MOREA"

ACTIVIDAD: MONITOREO REMOTO DE LA CALIDAD DEL TRATAMIENTO

DEL AGUA POTABLE

PARÁMETROS: Cloro residual, pH y temperatura.

EQUIPOS: 26 ESTACIONES (MOREA) DE MONITOREO REMOTO

LUGARES: Pequeñas Ciudades (PC) de La Libertad, San Martín, Cusco, Lima, Ayacucho, Junín, Loreto, Madre de Dios, Piura, Huancavelica, Ucayali y Pasco.

PUNTO DE MONITOREO: Infraestructura de almacenamiento

(reservorios).

Estado: En Ejecución

MONITOREO DE LA PRESIÓN Y CONTINUIDAD

ACTIVIDAD: MONITOREO REMOTO DE LA PRESIÓN Y CONTINUIDAD DEL

AGUA POTABLE.

PARÁMETROS: Presión.

EQUIPOS: 35 sensores de presión con Data logger de trasmisión remota.

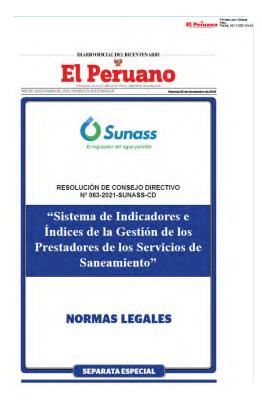
LUGAR: EPS EMAPA SAN MARTÍN – ODS San Martín.

PUNTO DE MONITOREO: Cajas de control/ cajas de conexión domiciliaria.

Estado: En Ejecución









MONITOREO REMOTO DEL CLORO RESIDUAL EN PEQUEÑAS CIUDADES

Situación de la intervención

PEQUEÑAS CIUDADES CON PRESUPUESTO

Pequeñas ciudades intervenidas:

26



148 mil

beneficiarios con la intervención

14 Mil niños menores de 5 años en las 26 PC



O Sunass PEQUEÑAS CIUDADES CON MOREA

PC SIN PRESUPUESTO PARA INTERVENCIÓN

Pequeñas Ciudades sin intervención:

444



2.1 millones de personas potencialmente beneficiarias

197 Mil niños menores de 5 años en las 444 PC



Fuente: INEI - Censo 2017

RETOS



Asimetría de Información en el Sector de Saneamiento Rural

• La falta de datos confiables y accesibles impide una correcta toma de decisiones y planificación en la regulación del servicio. Se necesita mejorar los sistemas de recolección y gestión de datos, asegurando su uso efectivo.

Optimización de la Fiscalización en el Ámbito Rural

 Aunque la Sunass ha avanzado en la supervisión del sector, la dispersión de los prestadores y la falta de capacidades en las Áreas Técnicas Municipales (ATM) dificultan un control efectivo. Es fundamental fortalecer las capacidades de las ATM y mejorar la cobertura de fiscalización.

Uso de Tecnología para Mejorar la Gestión de los Servicios

• La implementación de herramientas digitales, como el Sistema Web ATM, es clave para optimizar el monitoreo y la fiscalización. Sin embargo, persisten desafíos en la adopción y el uso adecuado de estas tecnologías por parte de los operadores locales.

Fomento de Buenas Prácticas y Fortalecimiento de Organizaciones Comunales

• El benchmarking regulatorio es una herramienta clave para identificar y difundir buenas prácticas en la gestión del agua en zonas rurales. No obstante, el desafío es garantizar que estas iniciativas sean sostenibles y que las comunidades adopten e implementen mejoras efectivas.



Quick poll

What initial steps could be taken to help address the challenges identified?



Summary and close

Andres Hueso

Senior policy analyst – Sanitation WaterAid

Examples of key resources



Establish/update policies, legislations, regulations, standards, and guidelines

Policy

- African Sanitation Policy Guideline (ASPG) and African Sanitation Policy Assessment Tool (ASPAT)
- UN-Water Global Analysis and Assessment of Sanitation and Drinking-water

Regulation and standards

- WHO International Network of Drinking-water and Sanitation Regulators (RegNet) including a global call to action to strengthen water and sanitation regulatory systems.
- Eastern and Southern Africa Water and Sanitation (ESAWAS) Regulators Association: The Water Supply and Sanitation Regulatory Landscape Across Africa
- Citywide Inclusive Sanitation (CWIS) Regulatory Journeys in Six Countries
- The World Bank's City-Wide Inclusive Sanitation (CWIS) programme provides a number of relevant policy resources and tools
- WHO Roadmap for Sanitation Regulation (forthcoming)

Climate resilient sanitation

- UNICEF and Global Water Partnership: Integrating climate resilience into national WASH strategies and plans
- UNICEF and Global Water Partnership: Strategic Framework for WASH Climate Resilient Development
- UNICEF Guidance note. How UNICEF regional and country offices can shift to climate resilient WASH programming
- Climate resilient sanitation coalition: Call to Action and Technical brief on climate resilient sanitation.
- Sanitation and Water for All: Definition of climate-resilient water, sanitation and hygiene services

